



1004 Rec'd OCT/PTO 18 OCT 2005

Docket No. 4439-4030

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): Seiji Ozawa et al.

Group Art Unit: tba

Serial No.: 10/524,046

Examiner: tba

Filed: February 7, 2005

For: INHIBITION OF PROLIFERATION AND INFILTRATION OF BRAIN TUMOR
CELLS CAUSED BY EXPRESSION OF AMPA-TYPE GLUTAMATE RECEPTOR
SUBUNIT

EXPRESS MAIL CERTIFICATE

Mail Stop Missing Parts
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Express Mail Label No.: EV 497 664 783 US

Date of Deposit: October 13, 2005

I hereby certify that the following attached paper(s) and/or fee

1. Copy of Notification of Missing Requirements (2 pages)
2. Response to Notification of Missing Requirements (1 page)
3. Declaration, signed (9 pages)
4. return postcard

is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. §1.10 on the date indicated above and is addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

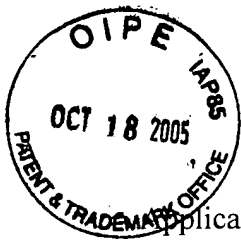
Michael A. Willis

(Typed or printed name of person mailing papers(s) and/or fee)

(Signature of person mailing paper(s) and/or fee)

Correspondence Address:

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): Seiji Ozawa et al.

Group Art Unit: tba

Serial No.: 10/524,046

Examiner: tba

Filed: February 7, 2005

For: Inhibition of Proliferation and Infiltration of Brain Tumor Cells Caused by Expression of AMPA-type Glutamate Receptor Subunit

RESPONSE TO "NOTIFICATION OF MISSING REQUIREMENTS

UNDER 35 U.S.C. 371"

Mail Stop Missing Parts
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

In response to the NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 dated August 30, 2005, Applicant(s) submit(s) herewith the following documents for appropriate action by the U.S. Patent and Trademark Office:

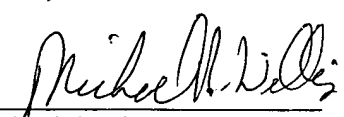
- ☒ Copy of NOTIFICATION OF MISSING REQUIREMENTS
- ☒ Executed Declaration (9 pages)
- ☒ Please charge the required fee of \$130 to deposit account no. 13-4500, Order No. 4439-4030.
- ☐ A check in the amount of \$_____ in payment of the application filing fees is attached.
- ☒ The Commissioner is hereby authorized to charge any additional fees which may be required by this paper, or credit any overpayment to Deposit Account No. 13-4500, Order No. 4439-4030.

10/21/2005 GFREY1 00000135 134500 10524046
01 FC:1617 130.00 DA

Respectfully submitted,
MORGAN & FINNEGAN, L.L.P.

Dated: October 13, 2005

By: _____


Kenneth H. Sonnenfeld / Michael A. Willis
Reg. No. 33,285 / Reg. No. 53,913

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10-17-05
PCT / HFW
UNITED STATES PATENT AND TRADEMARK OFFICEUNITED STATES DEPARTMENT OF COMMERCE
United States Patent and Trademark Office
Address: COMMISSIONER FOR PATENTS
P.O. Box 1450
Alexandria, Virginia 22313-1450
www.uspto.gov

U.S. APPLICATION NUMBER NO.	FIRST NAMED APPLICANT	ATTY. DOCKET NO.
10/524,046	Seiji Ozawa	4439-4030

INTERNATIONAL APPLICATION NO.
PCT/JP03/10143

I.A. FILING DATE	PRIORITY DATE
08/08/2003	08/08/2002

Kenneth H Sonnenfeld
Morgan & Finnegan
3 World Financial Center
New York, NY 10281-2101Case 4439-4030 Atty. max
Due Date 10/30/05 exec. del/fender
Statutory Date 3-30-06
By AmCONFIRMATION NO. 8650
371 FORMALITIES LETTER
OC000000016892914
OC000000016892914

Date Mailed: 08/30/2005

NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as a Designated / Elected Office (37 CFR 1.495).

- Copy of the International Application filed on 02/07/2005
- Copy of the International Search Report filed on 02/07/2005
- Information Disclosure Statements filed on 02/07/2005
- Biochemical Sequence Diskette filed on 02/07/2005
- Biochemical Sequence Listing filed on 02/07/2005
- Request for Immediate Examination filed on 02/07/2005
- U.S. Basic National Fees filed on 02/07/2005
- Priority Documents filed on 02/07/2005

The applicant needs to satisfy supplemental fees problems indicated below.

The following items **MUST** be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

- Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application by the International application number and international filing date.
- To avoid abandonment, a surcharge (for late submission of filing fee, search fee, examination fee or oath or declaration) as set forth in 37 CFR 1.492(h) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.

SUMMARY OF FEES DUE:

Total additional fees required for this application is \$130 for a Large Entity:

- \$130 Surcharge.

ALL OF THE ITEMS SET FORTH ABOVE MUST BE SUBMITTED WITHIN TWO (2) MONTHS FROM THE DATE OF THIS NOTICE OR BY 32 MONTHS FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

*A copy of this notice **MUST** be returned with the response.*

DEBORAH D WILLIAMS

Telephone: (703) 308-9140 EXT 205

PART 1 - ATTORNEY/APPLICANT COPY

U.S. APPLICATION NUMBER NO.	INTERNATIONAL APPLICATION NO.	ATTY. DOCKET NO.
10/524,046	PCT/JP03/10143	4439-4030